



Civil Money Penalty Reinvestment Program STATE PLAN



The Civil Money Penalty Reinvestment Program (CMPRP) State Plan Form is designed to standardize how states submit their annual prospective plans for utilizing Civil Money Penalty (CMP) funds collected from long-term care facilities. This standardization ensures consistency, compliance, and effective oversight across all participating states.

Annual Submission Deadline: States must submit their State Plans by **October 31st**.

Required Reference Material: States must reference the *State Plan & Project Tracking Handbook* throughout the completion of all sections. This handbook ensures that all sections are accurately completed and remain in compliance with program standards. The handbook is accessible on the [CMS CMPRP website](#).

Technical Assistance and Questions: Contact the CMS CMPRP Team at CMP-Info@cms.hhs.gov.

For State Plan-specific questions, refer to the contact information in Section 1.

INTRODUCTION

STATE NAME XXXXX

SECTION 1 – State Plan Timeline

Start Date of the State Plan 01/01/2027	End Date of the State Plan 12/31/2027
Operational Calendar Year of the State Plan 2027	Date of Submission to CMS CMPRP Team 10/31/2026

SECTION 2 – State Points of Contact

Primary Point of Contact			Secondary Point of Contact		
Name Jane Doe			Name John Smith		
Title CMP Manager			Title CMP Assistant Manager		
Office Long Term Care Policy Unit			Office Long Term Care Policy Unit		
Office Address 1234 Windy Lane, City Z	State XX	Zip Code 12345	Office Address 1234 Windy Lane, City Z	State XX	Zip Code 12345
Phone Number (123) 456-7891			Phone Number (123) 456-7890		
Email Address jdoe@email.com			Email Address jsmith@email.com		

PLAN FOR THE USE OF CMP FUNDS

Note: For the fillable fields that require a dollar amount, the dollar sign (\$) will automatically appear once the numerical value is entered.

SECTION 3 – Current CMP Balance

The Current CMP Balance includes funds returned to the state in the previous year for the Medicaid portion.

The state's **actual** CMP balance as of the date of submission, *no later than October 31st*, for the calendar year covered by this plan is \$ 5,000,000.00.

SECTION 4 – CMPs Returned to the State (Previous Year CMP Funds)

States must report the total **actual** amount of funds returned to the state during the previous applicable calendar year as of the date of submission, *no later than October 31st*.

Amount:

\$ 1,200,239.75

SECTION 5 – Emergency Reserve Fund

For the plan year, states must reserve a portion of their **Current CMP Balance** for emergency situations, including receiverships, natural disasters, and resident relocations resulting from involuntary terminations from Medicare and Medicaid programs. This ensures states maintain appropriate emergency response capacity while demonstrating responsible stewardship of CMP funds and readiness to protect nursing home residents during critical situations.

The amount allocated for the Emergency Reserve Fund for the calendar year covered by this plan is \$ 1,000,000.00.

Describe how the state plans to utilize Emergency Reserve Funds:

The state plans to reserve \$1,000,000 for emergency situations, including receiverships, natural disasters, and residential relocation resulting from facility closures due to involuntary or voluntary termination from Medicare and Medicaid programs.

Historical use of CMP Emergency Reserve Funds:

In 2024, relocation assistance was provided after one involuntary closure of a certified nursing home. In 2025 emergency reserve funds were used for receivership costs.

Number of statewide certified beds:

7,500

PLAN FOR THE USE OF CMP FUNDS

Note: For the fillable fields that require a dollar amount, the dollar sign (\$) will automatically appear once the numerical value is entered.

SECTION 6 – Annual Administrative Use

States may voluntarily allocate Current CMP Balance funds for administrative purposes related to managing the Civil Money Penalty Reinvestment Program. **The total amount of Administrative Use funds will auto-calculate from entries in the Salary, Benefits, and Cost/Amount columns in the tables below.**

The total amount estimated for Administrative Use for the calendar year covered by this plan is \$ 150,000.00.

States not using administrative funds should **leave the section blank.**

Position Title	FTE Hours	Salary	Benefits	Position Description
CMP Manager	1.00	\$ 114,910.00	\$ 34,810.00	The CMP Manager will oversee the state's CMPRP program, which includes solicitation of CMP projects. evaluation of 50

Other uses of Annual Administrative Funds (e.g., supplies or travel solely related to CMP program management/oversight):

Cost/Amount	Description
\$ 280.00	Site visits for monitoring/analysis of approximately 15-20 CMP-funded projects, approximately 400 miles with a GSA mileage reimbursement of \$0.70 per mile.

NOTE: Avoid potentially prohibited or problematic costs (e.g., administrative expenses beyond those necessary to administer, monitor, evaluate, or report on the effectiveness of projects utilizing CMP funds).

SECTION 7 – Obligated Funds

States must identify and report all projects they are obligated to fund during the plan year, including implementation or continued implementation of continuing and/or new projects. This section ensures comprehensive tracking of committed CMP fund allocations. **The total amount of Obligated Funds will auto-calculate from entries in the *Amount Approved for the Plan Year* column in the table below.**

The total amount of Obligated Funds for projects approved for the calendar year covered by this plan is \$ 1,850,000.00.

Include a list of continuing projects and/or new projects **approved for the calendar year covered by the plan**. List each CMS project unique identifier (UID), project title, the amount obligated for each approved project, the start and end dates of each project, and the recipient of funds. For multi-year projects or projects that are one year or less but span multiple years, please enter the funds obligated for the calendar year covered by this plan. Please note, for CMS Nursing Home Staffing Campaign programs, only complete the Project Title and Amount Approved for the Plan Year.

[illegible]

SECTION 7 – Obligated Funds (continued)

[illegible]

SECTION 8 – Available Funds

For the plan year, the state's net available funds (as of January 1 of the calendar year covered by this plan) are calculated by subtracting the Emergency Reserve Fund (Section 5), Annual Administrative Use (Section 6), and Obligated Funds (Section 7) from the current CMP balance.

Rows 1 through 4 will auto-populate with the amounts reported in the previous sections. **Row 5 will auto-calculate** the amount of available funds. Do not modify/alter formulas in the form. (If needed, please manually enter the amounts or verify that the amounts are accurately reported from the previous sections. To calculate, please take the total amount provided in section 3 and subtract the total amounts in sections 5, 6, and 7.)

Refer to the *State Plan and Project Tracking Handbook* for further guidance.

Row	Description	Amount
1.	Current CMP Balance (from section 3)	\$ 5,000,000.00
2.	Emergency Reserve Fund (from section 5)	\$ 1,000,000.00
3.	Annual Administrative Use (from section 6)	\$ 150,000.00
4.	Obligated Funds (from section 7)	\$ 1,850,000.00
5.	Available Funds (automatically calculated)	\$ 2,000,000.00

PLAN FOR PUBLIC POSTING, SOLICITATION AND REVIEW METHODS, MONITORING, AND TRACKING

SECTION 9 – Public Posting of Funded CMP Projects

On an annual basis, states must post information about each funded CMP Project in a publicly available location. If the State chooses to post the required CMP-funded projects on their own website, then a link to the website must be provided. CMS will obtain this information from states annually through the *Project Tracking Spreadsheet* and will post the project information to the [CMS CMPRP website](#).

State website link (if applicable) State CMP Reinvestment Projects Funded by Calendar Year	Frequency of Updates Annually
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SECTION 10 – Solicitation Methods

These methods are essential to meet federal CMPRP requirements while effectively soliciting projects that aim to improve the quality and safety of care for nursing home residents, in compliance with 42 CFR §488.433(e) and related CMS guidance.

Solicitation Responsibility (designated state agency staff or department):

John Smith, CMP Assistant Manager

Frequency (e.g., monthly, quarterly, annually by March 1st), States must solicit projects at least annually:

Biannually

Location/Platform (e.g., websites, notices to the Ombudsman's office, presentations to the nursing home provider community, conference venues, etc.):

State website, email blasts, provide information during annual provider conference

Target Audience(s) (specific stakeholders):

Certified nursing homes, nursing home provider associations

Types of Projects Intended to be Solicited (e.g., dementia care, music and memory):

Nursing home staff trainings, resident activities, consumer information, and resident and family council

Solicitation Notice (including standard language or requirements):

Nursing home staff trainings, resident activities, consumer information, and resident and family council

Other Information:

N/A

SECTION 11 – Review Methods

The methods and criteria the state will use to objectively and consistently review and evaluate incoming applications to determine if the proposal meets the requirements for acceptable uses of CMP funds.

Application Reviewer(s):

CMP Personnel

Criteria Used to Evaluate Applications:

Applications will be evaluated against both CMP federal and state funding requirements.

Frequency Applications are Reviewed:

Quarterly

Expected Timeframe to Review and Either Approve or Deny Applications:

30-45 days

Process for Submitting Applications to the CMS CMPRP Team:

Approved applications will be forwarded to the CMS CMPRP Team via the CMP-info@cms.hhs.gov mailbox within 10 days of approval by the state.

SECTION 12 – Monitoring and Tracking Methods

The state carefully monitors how CMP funds are used to ensure they support approved projects and administrative activities. This includes tracking both project-related expenses and administrative costs (as described in Section 6 of the State Plan). To ensure funds are spent appropriately, the state may conduct site visits and review documentation, including invoices, timecards, and receipts for supplies and travel. These steps help confirm that the money is used exactly as intended by the organizations or individuals receiving the funds.

Administrative Use Monitoring/Tracking:

Please describe how the state intends to monitor/track CMP funds for Annual Administrative Use. This is only applicable if funds are being obligated in Section 6 of the State Plan. If no funds are obligated in Section 6, please enter "N/A".

An authorized state timekeeping system is used to monitor and track administrative spending.

Project Monitoring/Verification:

Project reporting, invoices, time cards, receipts, and in-person or virtual check-in meetings

Reporting Timeline (e.g., periodic or standard deadlines):

Projects must submit results and metrics used to evaluate project goals and effectiveness biannually, as well as a final report upon project completion.

Final Report (including metrics):

The state requires a final report (including metrics) to evaluate the project's effectiveness and whether project goals and objectives were met. Projects that do not demonstrate a direct benefit to the nursing home residents or meet the stated goals and objectives will not be recommended for implementation into additional nursing homes.